

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Isaac Jesus Rondon and Robert Charles Ladner  
Application No.: 09/541,345 Group: 1642  
Filed: April 3, 2000 Examiner: Canella, K.A.  
Confirmation No.: 4862  
For: BINDING PEPTIDES FOR CARCINOEMBRYONIC  
ANTIGEN (CEA)

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>5-12-03</u>	<u>Denise Laredo</u>
Date	Signature
<u>Denise Laredo</u>	
Typed or printed name of person signing certificate	

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REQUEST FOR CHANGE OF DOCKET NUMBER  
AND CORRECTED FILING RECEIPT

Customer Correction Office  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants' attorney requests that the docket number of record for the above-referenced case be changed to 3421.1005-000. This request is made to better identify this application within our offices.

In addition, the Filing Receipt dated 08/01/2000 incorrectly states the total number of claims as 22. Although the fee transmittal initially filed with the application stated that there were 26 Total Claims and 5 Independent Claims, there were in fact 86

Total Claims and 3 Independent Claims. Thus, the correct fees that were due were \$2138 (totaling \$1448 for claim fees (total claims + independent claims + multiple dependent fee) + \$690 (filing fee)), and Applicants' Attorney's Deposit Account was charged in the amount of the fee deficiency. The Filing Receipt dated 08/01/2000 (copy attached) correctly states the filing fees paid (\$2138) but does not correctly state the total number of claims (86).

Therefore, please provide a corrected Filing Receipt indicating the new attorney docket number and correct claim count.

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the claims as filed (evidencing 86 total Claims and 3 independent Claims).

Please charge Deposit Account No. 08-0380 for any fees that may be due in this matter. One additional copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Lisa M. Treannie

Lisa M. Treannie

Registration No. 41,368

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: 5/12/03

## REPLACEMENT FILING RECEIPT



\*OC00000005287674\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/541,345	04/03/2000	1646	2138	DYX-016.01 4121003-00	3	22 80	3

Leon R. Yankwich Esq  
Yankwich & Associates  
130 Bishop Allen Drive  
Cambridge, MA 02139

Date Mailed: 08/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Isaac Jesus Rondon, Boston, MA ;  
Robert Charles Ladner, Ijamsville, MD ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/07/2000

## Title

Binding peptides for carcinoembryonic antigen (CEA)

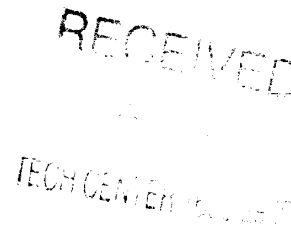
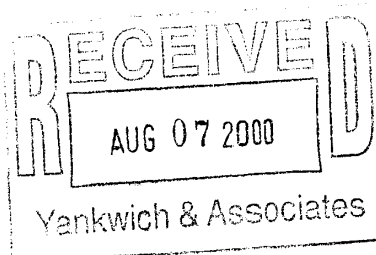
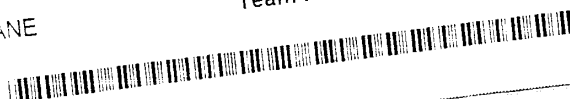
## Preliminary Class

530

Data entry by : JONES, DIANE

Team : OIPE

Date: 08/01/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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Typed or printed name of person signing certificate	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Reply to Restriction Requirement and Preliminary Amendment for filing in the above-identified application.

- [X] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	88	MINUS	* 86	2
INDEP	5	MINUS	** 3	2
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

- \* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY	
RATE	ADDIT. FEE
X \$9	\$ 18
X \$42	\$ 84
- \$140	\$

OR

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$
X \$84	\$
- \$280	\$

TOTAL = \$ 102

TOTAL = \$ 0

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Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input checked="" type="checkbox"/>	Amendment Fee	\$	<u>102</u>
<input type="checkbox"/>	Other Fees:s		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u><u>102</u></u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u><u>0</u></u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

REMARKS

A check for \$1214 was initially filed with the application on April 3, 2000. The fee transmittal accompanying this check indicated that 26 total Claims and 5 independent Claims were filed (totaling \$524 for the claim fees (total claims + independent claims + multiple dependent fee)). In fact, 86 total claims and 3 independent claims were filed (totaling \$1448 for claim fees (total claims + independent claims + multiple dependent fee)). Thus, the correct filing fee was \$2138 (\$1448 (claim fees) + \$690 (filing fee)). Applicants have confirmed with the U.S.P.T.O. that the fee deficiency was charged to Deposit Account 500268, as evidenced by the attached U.S.P.T.O. Deposit Account Statement and the Official Filing Receipt. Applicants note, however, that the filing receipt does not state the correct claim number (86 total claims and 3 independent claims).

Accordingly, please charge \$102.00 to Deposit Account No. 08-0380 for 2 additional total claims and 2 additional independent claims (small entity). A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

Lisa M. Treannie

Lisa M. Treannie

Registration No. 41,368

Telephone (978) 341-0036

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Concord, Massachusetts 01742-9133

Dated:

5/12/03